Certificate of Mailing

hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as First Class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on January 20, 2004.

Joyce Maule

Name

4935-102 US

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Ronald W. Pero, et al.

Serial No.: 10/718,165

Group Art Unit: TBD

Filed: November 20, 2003

Examiner: TBD

Title: Water Soluble Compositions Derived From Plant Material and Preparation Thereof

Information Disclosure Statement

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

Pursuant to 37 C.F.R. §§ 1.97 and 1.98, Applicant hereby brings to the attention of the United States Patent and Trademark Office the following documents of which Applicant has been made aware. The documents are listed on the attached PTO Form 1449. Copies of the documents are enclosed.

Applicant submits that this Information Disclosure Statement is submitted prior to the 1st office action.

JAN 2 3 2004

It is requested that the references be considered by the Examiner and be made of record as a comparate of the available prior art under 37 C.F.R. § 1.104.

Respectfully submitted,

Patrick H. Higgins, Reg. No. 39,709

Attorney for Applicant

MATHEWS, COLLINS, SHEPHERD & MCKAY 100 Thanet Circle, Suite 306 Princeton, NJ 08540 (609) 924-8555 - Telephone (609) 924-3036 - Facsimile

Approved for use through 10/31/2002. OMB 0651-0031

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE
Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

stitute for form 1449A/PTO

JAN 2 3 2004

BADE

## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

 Complete if Known

 Application Number
 10/718,165

 Filing Date
 November 20, 2003

 First Named Inventor
 Ronald W. Pero

 Group Art Unit
 TBD

 Examiner Name
 TBD

 Attorney Docket Number
 4935-102 US

(use as many sheets as necessary)

Sheet of

**U.S. PATENT DOCUMENTS** Name of Patentee or Applicant of Document Number **Publication Date** Pages, Columns, Lines, Where Relevant Examiner Cited Document MM-DD-YYYY Passages or Relevant No. Initials Number - Kind Code2 (if known) Figures Appear US-6,238,675 05/29/01 Pero US- 6,039,949 05/21/00 Pero 03/26/02 US- 6,361,805 Pero US-US-US-US-US-US-US-US-US-US-US-US-US-US-US-US-US-

FOREIGN PATENT DOCUMENTS							
Examiner Initials*	Cite No.1	Foreign Patent Document  Country Code <sup>3</sup> - Number <sup>4</sup> - Kind Code <sup>5</sup> (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant	T <sup>6</sup>	
					Figures Appear	-	

Examiner Signature	Date Considered	

Burden Hour Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>&</sup>lt;sup>1</sup> Applicant's unique citation designation number (optional) . <sup>2</sup> See Kinds Codes of USPTO Patent Documents at <a href="https://www.uspto.gov">www.uspto.gov</a> or MPEP 901.04.
<sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.